

Audit Assurance Opinions: 2021/22

AUDIT TITLE	Department	FINAL DATE	ASSURANCE LEVEL				RECOMMENDATIONS			
			FULL	SUBSTANTIAL	LIMITED	NO	Priority 1	Priority 2	Priority 3	
1	Compliance and Enforcement grant	E&R	7/5/21	Y	-	-	-	-	-	-
2	Role of the Operational Procurement Groups	All	13/5/21	-	Y	-	-	-	8	-
3	Mascot Centre	C&H	19/5/21		Y				12	1
4	Cash and Bank	CS	19/5/21		Y				5	1
5	Disclosure Barring Service	CS	27/5/21			Y		2	3	2
6	Payroll (joint audit with LBS and RBK)	CS	28/5/21		Y				4	
7	MSJCB	ER	8/6/21	Accounts sign off						
8	Corporate Procurement follow up	CS	17/6/21		Y				3	3
9	CM2000 Home care monitoring	CH	17/6/21			Y		4	13	
10	Cyber Security	CS	24/6/21			Y			8	8
11	Troubled families grant-quarter 1	CSF	30/6/21		Y					
12	Duplicate payment checks- quarter 1	CS	30/6/21		Y					
13	Accounts Payable	CS	10/9/21		Y				3	
14	Declaration of Interests & Gifts & Hospitality-Officers	CS	10/9/21		Y				7	

15	Annual Governance review	all	28/9/21		Y				5	
16	Departmental Schemes of Management	all	30/9/21		Y				1	
17	Troubled families grant-quarter 2	CSF	30/9/21		Y					
18	Duplicate payments – quarter 3	CS	30/9/21		Y					
19	Mayor's account	CS	1/10/21		Y					
20	Capital Programme	CS	18/10/21		Y		-		2	2
21	Adult Social Care debt	CH	Draft		Y				5	
22	Transport Fleet Management	ER	Draft		Y		1		3	
23	Pension administration (Joint audit with Wandsworth Council)	CS	Draft (tbc)							
24	Parking Enforcement	ER	Draft (tbc)							
25	Adoption, Fostering and Special Guardianship payments	CSF	Draft (tbc)							
26	Infection Control Payments	CH	In progress							
27	Children Placements-short breaks Commissioning	CSF	In progress							
28	ICT Change Management	CS	In progress							
29	Accounts Payable -Bank Mandates	CS	In progress							
30	Covid 19 recovery (grants)	CS	In progress							
31	Adelante (cash receipting system) post implementation	CS	In progress							
32	Petty Cash Imprests	CS	In progress							
33	Merton Abbey Primary School	CSF	In progress							
34	Planning applications	ER	In progress							

35	Gorringe Primary school	CSF	Booked Nov 2021							
36	Business Rates	CS	Booked Nov 2021							
37	Adult Safeguarding	CH	Booked Nov 2021							
38	St Marks Primary School	CSF	Booked Nov 2021							
39	BeDifferent Federation	CSF	Booked Dec 2021							
40	ICT Governance policy and Strategy	CS	Booked for Jan 2022							
41	ICT Core Infrastructure – IT Skills gap	CS	Booked for Jan 2022							
42	Direct payments	CH	Tbc							

TOTALS				1 (5%)	17 (81%)	3 (14%)	0 (0%)	7 (7%)	82 (77%)	17 (16%)
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